

03/30/99
JCS55 U.S. PTO

A

PATENT

Docket No. 1232-4527

Express Mail Label No. EJ607480886US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

JCS542 U.S. PTO
09/28/164
03/30/99

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and
Address(es):

Katsuhiko NAGATA, 7-18-305, Bessho 5-chome, Urawa-shi, Saitama-ken, Japan;
Yasuichi ISHIII, 11-6, Hiyoshi 1-chome, Kohoku-ku, Yokohama-shi, Kanagawa-ken,
Japan; and Takashi KAMAI, 26-7-602, Shimouma 2-chome, Setagaya-ku, Tokyo, Japan

For: OPTICAL DEVICE
Enclosed are:

[X] 25 page(s) of specification, 1 page(s) of Abstract, 5 Page(s) of claims

[X] 21 sheets of drawing [X] formal [] informal

[] Page(s) of Declaration and Power of Attorney

- [] Unsigned
[] Newly Executed
[] Copy from prior application

[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[X] Incorporation by Reference: The entire disclosure of the priority application(s) identified below, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[] Microfiche Computer Program (Appendix)

[] page(s) of Sequence Listing

- [] computer readable disk containing Sequence Listing
[] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

[X] Claim for Priority Japanese Application No. 10-091444 filed 4/3/98 and 11-068513 filed 3/15/99

- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of __cited references w/ English Abstracts
- ☐ Copy of PTO-1449 filed in parent application serial No. _____
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☐ Assignment papers filed in parent application Serial No. _____
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____ filed _____.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)					Basic Fee
	Number Filed		Number Extra	Rate	\$ 760.00
Total Claims	18	-20=	0	x\$18.00	\$ 0
Independent Claims	4	- 3=	1	x78.00	\$ 78.00
Multiple Dependent Claims	<input type="checkbox"/> yes		Additional Fee =		\$260.00
	<input checked="" type="checkbox"/> no		Add'l Fee =		NONE
					Total: \$838.00

[] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.

[X] A check in the amount of \$838.00 for payment of the application filing fees is attached.

[] Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

[X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4527. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: Michael M. Murray
Michael M. Murray
Registration No 32,537

Dated: March 30, 1999

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Katsuhiko Nagata et al.
Serial No. : TBA Group Art Unit : TBA
Filed : March 30, 1999 (Herewith)
For : OPTICAL DEVICE

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ607480886US

Date of Deposit March 30, 1999

I hereby certify that the following attached paper(s) and/or fee
Application Fee Transmittal; 25 pp. of specs., 1 page of abstract, 5 pp. claims (17 TOTAL claims); Check
in the amount of \$838.00 (Filing Fee); 21 Sheets of Formal Drawings (Figs. 1-24B); and Return Postcard
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37
C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box New
Application, Washington, D.C. 20231.

Gilberto Soto Jr

Typed or printed name of person
mailing paper(s) and/or fee)

Gilberto Soto Jr

(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile